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UTILITY

PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

ORT-1541

First Inventor

Richard H. Hutchings et al.

Title

NOVEL HETEROCYCLES USEFUL IN THE TREATMENT OF  
BENIGN PROSTATIC HYPERPLASIA

Express Mail Label No.

EL691441593US

APPLICATION ELEMENTS

(only for new nonprovisional applications under 37 CFR  
1.53(b))

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.

3. ☒ Specification [Total Pages 77]

(Preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a  
computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets ]

5. Oath or Declaration [Total Pages 12]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a  
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: 09/169,571, filed Oct. 9,  
1998. For this divisional application, please cancel original **Claims 1-14** of the prior application before calculating the filing  
fee. Prior application information: Examiner R. Raymond Group Art Unit: 1624

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an  
oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying  
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **000027777** or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.

Address: Johnson & Johnson, One Johnson & Johnson Plaza, New Brunswick, NJ 08933-7003

20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Ruby T. Hope at:

Telephone: (732) 524-1024 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Ruby T. Hope

Reg. No. 34350

SIGNATURE

DATE November 26, 2001

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. ☐ Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement

(IDS)/PTO-1449 ☐ Copies of IDS

Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certifications under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.

17. ☐ Other

PTO  
09/994153  
U.S.

11/26/01

**FEE TRANSMITTAL***Complete if Known*


Application Number	
Filing Date	November 26, 2001
First Named Inventor	Richard H. Hutchings et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	ORT-1541

**FEE CALCULATION****CLAIMS AS FILED**

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	2- 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	1 - 3 =	1	x 84.00	\$
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$280.00	
			TOTAL FEES	\$ 740.00

**METHOD OF PAYMENT**

- ☒ Please charge Deposit Account No. 10-0750/ORT1541/RTH in the amount of \$824.00.  
Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/ORT1541/RTH. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	Ruby T. Hope	Reg. No. 34,350
Signature		Date: November 26, 2001
		<b>Deposit Account No. 10-0750</b>



DOCKET NO. ORT-1541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard H. Hutchings et al.

For : NOVEL HETEROCYCLES USEFUL IN THE TREATMENT OF  
BENIGN PROSTATIC HYPERPLASIA

Express Mail Certificate

"Express Mail" mailing number: EL691441593US

Date of Deposit: November 26, 2001

I hereby certify that this complete divisional application, including specification pages, claims, unsigned Declaration, Preliminary Amendment, Power of Attorney, and Information Disclosure Statement, along with Form-1449, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Diane Hetzler

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)